#### Rick L. Sanford, II

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# FORM ADV PART 2B BROCHURE SUPPLEMENT

This brochure supplement provides information about Rick Sanford that supplements the Whitener Capital Management, Inc. brochure. You should have received a copy of that brochure. Please contact us at 252-972-8909 if you did not receive Whitener Capital Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Rick Sanford is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

#### Form ADV Brochure Supplement for Rick Sanford

### Item 2 Educational Background and Business Experience

The business background information provided below is for the last five years.

Your Financial Adviser: Rick Sanford

Year of Birth: 1972

Education:

East Carolina University; B.A., Economics, 1997; MBA, 2007

Business Background (past five years):

 Whitener Capital Management, Inc., President/Investment Adviser Representative, 01/2004 -Present

## **Item 3 Disciplinary Information**

Mr. Rick Sanford does not have, nor has he ever had, any disciplinary disclosure.

#### Item 4 Other Business Activities

Rick Sanford is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as President and Investment Adviser Representative of Whitener Capital Management, Inc. Moreover, Mr. Sanford does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

# **Item 5 Additional Compensation**

Rick Sanford does not receive any additional compensation for providing advisory services beyond that received as a result of his capacity as President and Investment Adviser Representative of Whitener Capital Management, Inc.

## **Item 6 Supervision**

Rick Sanford, Chief Compliance Officer, is responsible for supervising advisory activities provided on behalf of the firm. However, Sean Gilsenan, an Investment Adviser Representative of our firm, will supervise Mr. Sanford's advisory activities. You may reach Mr. Sanford and/or Mr. Gilsenan at 252-972-8909.